

Receipt

5 1/2

LAW OFFICES

LEARNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000

FAX: (908) 654-7866

www.ldkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

October 11, 2001

SIDNEY DAVID  
JOSEPH S. LITTENBERG  
ARNOLD H. KRUMHOLZ  
WILLIAM L. MENTLIK  
JOHN R. NELSON  
ROY H. WEPNER  
STEPHEN B. GOLDMAN  
CHARLES P. KENNEDY  
PAUL H. KOCHANOSKI  
MARCUS J. MILLET  
BRUCE H. SALES  
ARNOLD B. DOMPIERI  
KEITH E. GILMAN  
ROBERT B. COHEN  
MICHAEL H. TESCHNER  
GREGORY S. GEWIRTZ  
JONATHAN A. DAVID  
SHAWN P. FOLEY  
JEFFREY S. DICKEY

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

THOMAS M. PALISI  
STEPHEN F. ROTH  
KIMBERLY V. PERRY  
RENÉE M. ROBESON  
LYNNE A. BORCHERS\*  
MICHAEL J. DOHERTY  
MATTHEW B. DERNIER  
J. KIRKLAND DOUGLASS  
SCOTT S. SERVILLA  
JEANNE P. VALLEBUONA  
EDWARD D. PERGAMENTA  
FRANK J. CHESKY II  
WILLIAM SMITH  
TIMOTHY J. LUBECKI\*  
TIMOTHY J. BORTREE  
YUFENG LIU

OF COUNSEL  
LAWRENCE I. LERNER  
DANIEL H. BOBIS  
RAYMOND W. AUGUSTINA  
HARVEY L. COHEN

\*NORTH CAROLINA BAR ONLY  
\*NEW YORK BAR ONLY  
\*PENNSYLVANIA BAR ONLY

Re: Our File: TESSERA 3.3-018 CONT CONT II CONT II CONT II  
Appln. No. 09/691,807  
Filing Date: October 19, 2000  
Group Art Unit: 2815

Dear Sir:

We are enclosing herewith copies of the filing receipt and pages 1 and 2 of a Preliminary Amendment for the above-identified patent application.


Please note that the section entitled "*Domestic Priority Data as Claimed by Applicant*" should read EXACTLY as follows:

This Application is a CON of 09/122,219 07/24/1998  
which is a CON of 08/861,280 05/21/1997 PAT 5,950,304  
which is a CON of 08/319,966 10/07/1994 PAT 5,685,885  
which is a CON of 08/030,194 04/28/1993 PAT 5,679,977  
which is a 371 of PCT/US91/06920 09/24/1991  
**and said 08/030,194 04/28/1993**  
**is a CON of 07/765,928 09/24/1991 PAT 5,347,159**  
which is a CIP of 07/673,020 03/21/1991 PAT 5,148,265  
and a CIP of 07/586,758 09/24/1990 PAT 5,148,266  
and said 08/030,194 04/28/1993  
is a CIP of 07/586,758 09/24/1990 PAT 5,148,266  
and a CIP of 07/673,020 03/21/1991 PAT 5,148,265

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LEARNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

  
ARNOLD H. KRUMHOLZ  
Reg. No. 25,428

AHK:ls  
Enclosures  
332627\_1.DOC

RECEIVED  
OCT - 1 2001  
TECHNICAL OFFICE 2800



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/691,807	10/19/2000	2815	4526	TESSERA 3.3-018 CONT II	20	61	3

CONT CONT II CONT II CONT II

**CONFIRMATION NO. 5389**

**CORRECTED FILING RECEIPT**



\*OC000000006820694\*

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, NJ 07090-1497

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Igor Y. Khandros, Orinda, CA;  
Thomas H. DiStefano, Monte Sereno, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF 09/122,219 07/24/1998  
WHICH IS A CON OF 08/861,280 05/21/1997 PAT 5,950,304  
WHICH IS A CON OF 08/319,966 10/07/1994 PAT 5,685,885  
WHICH IS A CON OF 08/030,194 04/28/1993 PAT 5,679,977  
WHICH IS A 371 OF PCT/US91/06920 09/24/1991  
AND A CON OF 07/765,928 09/24/1991 PAT 5,347,159  
WHICH IS A CIP OF 07/673,020 03/21/1991 PAT 5,148,265  
AND A CIP OF 07/586,758 09/24/1990 PAT 5,148,266  
AND SAID 08/030,194 04/28/1993  
IS A CIP OF 07/586,758 09/24/1990 PAT 5,148,266  
AND A CIP OF 07/673,020 03/21/1991 PAT 5,148,265

**RECEIVED**

OCT 03 2001

**LDLK&M**

**Foreign Applications**

If Required, Foreign Filing License Granted 12/12/2000

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Stacked chip assembly

**Preliminary Class**

257

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 5389

<b>SERIAL NUMBER</b> 09/691,807	<b>FILING DATE</b> 10/19/2000 <b>RULE</b>	<b>CLASS</b> 257	<b>GROUP ART UNIT</b> 2815	<b>ATTORNEY DOCKET NO.</b> TESSERA 3.3-018 CONT II
------------------------------------	---	---------------------	-------------------------------	--

## APPLICANTS

 Igor Y. Khandros, Orinda, CA;  
 Thomas H. DiStefano, Monte Sereno, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

 THIS APPLICATION IS A CON OF 09/122,219 07/24/1998  
 WHICH IS A CON OF 08/861,280 05/21/1997 PAT 5,950,304  
 WHICH IS A CON OF 08/319,966 10/07/1994 PAT 5,685,885  
 WHICH IS A CON OF 08/030,194 04/28/1993 PAT 5,679,977  
 WHICH IS A 371 OF PCT/US91/06920 09/24/1991  
 AND SAID 08/319,966 10/07/1994  
 IS A CON OF 08/030,194 04/28/1993 PAT 5,679,977  
 AND A CON OF 07/765,928 09/24/1991 PAT 5,347,159  
 WHICH IS A CIP OF 07/673,020 03/21/1991 PAT 5,148,265  
 AND A CIP OF 07/586,758 09/24/1990 PAT 5,148,266  
 AND SAID 08/030,194 04/28/1993  
 IS A CIP OF 07/586,758 09/24/1990 PAT 5,148,266  
 AND A CIP OF 07/673,020 03/21/1991 PAT 5,148,265

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

PCT/US91/06920 09/24/1991

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 12/12/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 20	<b>TOTAL CLAIMS</b> 61	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

## ADDRESS

 LERNER, DAVID, LITTENBERG,  
 KRUMHOLZ & MENTLIK, LLP  
 600 South Avenue West  
 Westfield ,NJ 07090-1497

## TITLE

Stacked chip assembly

<b>FILING FEE RECEIVED</b>	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of

		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit _____